The document contains a pilot questionnaire developed based on the Theory of Change and the performance indicators of the draft Results Framework, to be sent to States Parties to collect information on the implementation of the Convention to be included in the Global Report.
QUESTIONNAIRE

Please respond with "Yes" or "No" to the following questions and provide any relevant details or information where requested. Your input will contribute to the monitoring and protection of underwater cultural heritage worldwide. Thank you for your cooperation.

General Information

Overview of the current State of Underwater Cultural Heritage in the State Party

How many underwater cultural heritage sites are known or supposed to present in your country?

To estimate the number of underwater cultural heritage sites, such as shipwrecks or submerged cities, known or believed to be present in your country, consider the following questions:

For Shipwrecks:
How many documented shipwrecks have been identified and recorded in your country?

- none
- ≥10
- ≥50
- ≥100
- ≥1000
- more

Are there ongoing efforts to survey and locate additional shipwrecks in our coastal waters or other relevant areas?

Yes ☐ No ☐

Have there been any historical records or accounts mentioning shipwrecks that have not yet been discovered or documented?

Yes ☐ No ☐

Are there specific regions or areas in your country known for a higher concentration of shipwrecks?


Have underwater archaeologists or researchers conducted systematic surveys or excavations to estimate the number of shipwrecks?
Yes □ No □

For Submerged Cities:
How many submerged cities or archaeological sites have been identified and studied in your country?
None 1 2 ≤2
Are there ongoing efforts to locate and explore additional submerged cities or archaeological sites?
Yes □ No □
Have there been any historical records or accounts mentioning submerged cities or ancient settlements that have not yet been discovered or documented?
Yes □ No □
Are there specific regions or areas known for a higher concentration of submerged cities or archaeological sites in your country?
Yes □ No □
Explain, if desired ____________

Have underwater archaeologists or researchers conducted systematic surveys or excavations to estimate the number of submerged cities or archaeological sites?
Yes □ No □

Please provide details about notable sites or artifacts, if necessary:.

___________________

Have there been any recent discoveries of underwater cultural heritage sites in your country?
Yes □ No □
If yes, please provide details about the nature and significance of these discoveries.

___________________

Is research on underwater cultural heritage conducted in your country?
Yes □ No □
If yes, please provide details about the focus and objectives of the ongoing research projects.

___________________
Are there national inventory projects or databases specifically focused on documenting underwater cultural heritage in your country?

Yes □ No □

Explain. ________________

What is the primary source of public expenditure for the protection of underwater cultural heritage in your country?

☐ National government budget allocations
☐ International funding or grants
☐ Private donations or sponsorships
☐ Revenue generated from tourism or entrance fees
☐ Combination of the above sources
☐ Not sure/Don't know

What is the approximate annual public expenditure dedicated to the protection of underwater cultural heritage in your country?

☐ Less than $100,000
☐ $100,000 - $500,000
☐ $500,000 - $1 million
☐ $1 million - $5 million
☐ More than $5 million
☐ Not sure/Don't know
**Governance**

**Outcome 1:**
Inclusive gender-responsive policies and legal frameworks on UCH protection adopted by policymakers and translated into associated strategies by competent authorities and civil society in line with the 2001 Convention.

Please select the option that best represents the situation with the legal and regulatory frameworks in place to protect and manage underwater cultural heritage in your country, in line with the UNESCO 2001 Convention:

- [ ] Fully aligned with the UNESCO 2001 Convention
- [ ] Partially aligned with the UNESCO 2001 Convention
- [ ] Limited alignment with the UNESCO 2001 Convention
- [ ] No alignment with the UNESCO 2001 Convention
- [ ] Outdated or inadequate legal framework
- [ ] No specific legal framework in place

What are the human, operational, and institutional capacities related to underwater cultural heritage management?

Relevant information on the competent authority: ___________

Please select the option that best represents the availability of human, operational, and institutional capacities related to underwater cultural heritage management:

- [ ] Strong and sufficient capacities are in place, including trained professionals, well-equipped facilities, and established institutions.
- [ ] Partial capacities are in place, and additional resources and support are needed to strengthen them.
- [ ] Limited capacities are available, requiring significant investment and development.
- [ ] Insufficient capacities are available, hindering effective UCH management and protection efforts.

a) How many underwater archaeologists are working in the field of underwater cultural heritage in your country?

- [ ] 0
- [ ] 1
- [ ] 5
- [ ] 10
- [ ] 50
- [ ] 100 or more

b) How many conservation specialists are working in the field of underwater cultural heritage in your country?

- [ ] 0
- [ ] 1
c) How many civil servants are working in the field of underwater cultural heritage in your country?

☐ 0
☐ 1
☐ 5
☐ 10
☐ 50
☐ 100 or more

Is there collaboration and information-sharing among competent authorities and organizations concerned at the local, national, and international levels to identify and address potential threats and risks to underwater cultural heritage sites?

Yes ☐ No ☐

Are there any challenges or threats to the preservation of underwater cultural heritage in your country?

Yes ☐ No ☐

☐ Natural processes, such as erosion, sedimentation, or geological changes
☐ Human activities, including fishing, diving, and underwater construction
☐ Climate change and its impact on sea levels and marine ecosystems
☐ Pollution, including water pollution and marine debris
☐ Illegal activities, such as looting, treasure hunting, and unauthorized excavations
☐ Lack of awareness and understanding of the value and significance of underwater cultural heritage
☐ Limited resources and funding for preservation and conservation efforts
☐ Insufficient legal and regulatory frameworks for the protection of underwater cultural heritage
☐ Lack of coordination and collaboration among stakeholders and organizations involved in preservation efforts
☐ Lack of necessary technologies or equipment allowing for the surveying, documenting, and monitoring of underwater heritage sites
☐ Limited access to underwater cultural heritage sites for research, exploration, and public engagement

If needed, please add or explain the nature of these challenges or threats.

Have there been any notable changes or deterioration in the condition of underwater cultural heritage sites?

Yes ☐ No ☐

☐ Physical damage or destruction caused by natural disasters, such as storms or earthquakes
- Corrosion and degradation of submerged structures and artifacts due to long-term exposure to water and marine organisms
- Loss of archaeological context and integrity through unauthorized excavation or looting
- Impact of human activities, including anchoring, trawling, or dredging, leading to disturbance or destruction of underwater cultural heritage sites
- Environmental changes, such as shifting currents or sedimentation, altering the surrounding ecosystem and affecting the condition of underwater sites
- Climate change-related impacts, such as rising sea levels or ocean acidification, leading to accelerated deterioration of submerged cultural heritage
- Biological colonization and encrustation by marine organisms, potentially causing physical and chemical damage to artifacts and structures
- Subsidence or geological shifts, altering the landscape and affecting the stability and condition of underwater cultural heritage sites
- Pollution and contamination of water, leading to chemical deterioration or staining of artifacts and structures
- Neglect or lack of maintenance, resulting in the decay or collapse of underwater cultural heritage sites over time.

If needed, please explain further.

To what extent is underwater cultural heritage looting observed in your country? Tick all the relevant markers applicable

- Increase in reported incidents of looting or theft of underwater cultural heritage artifacts.
- Illegal excavation or disturbance of underwater archaeological sites.
- Presence of unauthorized divers or treasure hunters searching for and removing artifacts.
- Discovery of unauthorized excavation equipment or tools near underwater sites.
- Market demand for underwater cultural heritage artifacts, indicated by their sale in illegal markets or online platforms.
- Loss of valuable artifacts from known underwater archaeological sites.
- Damage to underwater cultural heritage sites due to looting activities.
- Increase in the illicit trade of underwater cultural heritage artifacts within the country or internationally.
- Reports or intelligence indicating organized criminal networks involved in the looting and trafficking of underwater cultural heritage.
- Lack of effective legislation or regulations specifically addressing the protection of underwater cultural heritage.
- Insufficient resources or funding dedicated to protecting and monitoring underwater archaeological sites
- Limited public awareness and education about the value and importance of preserving underwater cultural heritage.
- Lack of collaboration and coordination among relevant authorities and stakeholders in combating looting and protecting underwater cultural heritage.

Provide details and statistical data, if possible:______________

Does your country allow the commercial recovery and sale of cultural artefacts from underwater sites?

Yes □ No □
Have measures been taken to address changes to or deterioration of the condition of underwater cultural heritage sites in your country?

Yes □ No □

- Implementation of regular site monitoring programs.
- Enactment and enforcement of protective regulations.
- Conducting archaeological surveys and excavations.
- Conservation and restoration projects.
- Collaboration with local communities and stakeholders.
- Integration into marine spatial planning and management.
- Utilization of innovative technologies.
- International cooperation and knowledge sharing.
- Public education and outreach programs.
- Maintenance and site management activities.

If needed, please add or explain further. _____________

How would you evaluate the effectiveness of existing protection and management measures for underwater cultural heritage, including the progress made in implementing the Convention?

Effective □ Not effective □

Are the monitoring mechanisms in place considered comprehensive and efficient?

Yes □ No □

Are advanced monitoring technologies and tools, such as sonar and underwater drones, used to survey underwater cultural heritage sites in your country?

Yes □ No □

If yes, please provide details about their usage, effectiveness, and any notable findings.

Do you incorporate underwater cultural heritage considerations in the following contexts?

(Please select all that apply.)

- Biodiversity protection initiatives.
- Marine Protected Areas (MPAs).
- Marine spatial planning processes.

How are industrial actors involved in underwater cultural heritage?

(Please select all that apply.)

- Conducting underwater archaeological surveys and research
- Providing funding for UCH preservation and conservation projects
- Developing technologies and tools for UCH exploration and documentation
- Supporting UCH tourism initiatives
- Engaging in corporate social responsibility initiatives related to UCH
- Collaborating with UCH stakeholders on sustainable resource extraction practices
- Providing expertise and resources for UCH site management and protection
Are industrial actors legally obligated to protect or consider underwater cultural heritage (UCH)? Please select one option.

- Yes, there are specific legal obligations for industrial actors to protect and consider UCH.
- No, there are no specific legal obligations for industrial actors to protect and consider UCH.
- Not sure/I don't know.

Do you collaborate with other State Parties and relevant organizations to address common challenges and achieve shared goals related to underwater cultural heritage?

Yes ☐ No ☐

Explain.

Awareness-Raising and Knowledge management

Goal 2:
Society understands, values, researches, manages and protects underwater cultural heritage

Education

Are there any public outreach and education initiatives aimed at raising awareness of underwater cultural heritage and promoting its protection? Please select all that apply.

Yes ☐ No ☐

Please select all relevant options:

- public events and exhibitions.
- educational programs and workshops.
- online resources and educational materials.
- collaborations with schools and universities.
- Participation Blue School network
- Actions in Ocean Literacy
- currently no public outreach and educational initiatives.

Is underwater cultural heritage included in:

(click correct answer(s))

- National educational curricula
- Museums and cultural institutions’ educational programs
- Awareness campaigns and events related to ocean literacy
- Collaborative projects with marine science organizations
- Research projects focused on UCH and ocean literacy
- Conservation and preservation efforts
- Publications and educational materials on UCH and ocean literacy
- Community engagement and involvement in UCH protection and promotion
- Partnerships with educational institutions and NGOs for UCH and ocean literacy initiatives
- None of the above. UCH is not included in actions related to ocean literacy.
The preservation and responsible use of underwater cultural heritage contributes to well-being and sustainable livelihoods

Outcome 3:

Integration of UCH in inclusive ocean management policies developed and implemented by countries towards economic benefits, sustainable use and preservation

Have you implemented best practices shared by States Parties in the framework of the 2001 Convention to provide access to underwater cultural heritage, whether through museums or in situ experiences, in your country?

Yes □ No □

If yes, please select which of the following exist as methods or platforms for experiencing underwater cultural heritage. Please select all that apply.

☐ Museum exhibitions showcasing underwater cultural heritage
☐ Submarine visits or underwater tours specifically designed to showcase underwater cultural heritage sites.
☐ Underwater cultural heritage exhibits or displays in aquariums.
☐ Guided tours of underwater archaeological sites
☐ Virtual reality experiences of underwater cultural heritage
☐ Educational programs on underwater cultural heritage
☐ Accessible underwater heritage trails or dive sites
☐ Underwater cultural heritage documentaries, films, or television programs.
☐ Collaborations with local or indigenous communities for sustainable access
☐ Cultural events, festivals, or exhibitions that feature underwater cultural heritage as a theme or focus.
☐ Online platforms or websites that provide information, images, or videos about underwater cultural heritage sites.
☐ Publications, books, or magazines dedicated to underwater cultural heritage and its preservation.
☐ Partnerships with research institutions or universities to support research and study related to underwater cultural heritage.
☐ Other (please specify): __________

Add or provide details as necessary. ___________

Does your work on underwater cultural heritage involve collaboration and interdisciplinary approaches with other fields?

(Please select all that apply.)

☐ Archaeology
☐ Marine biology
☐ Oceanography
☐ Conservation science
☐ Cultural heritage management
☐ Anthropology
☐ Tourism management
☐ Environmental sciences
☐ Engineering
☐ History
Give details if desired. ______________

Which authorities and/or Ministries are involved in the protection and or research of underwater cultural heritage in your country?

☐ Ministry of Culture
☐ Ministry of Tourism
☐ Ministry of Education
☐ Ministry of Environment
☐ Ministry of Maritime Affairs or Ministry of Maritime Affairs and Fisheries
☐ Ministry of Archaeology or Ministry of Antiquities
☐ Ministry of Natural Resources
☐ Ministry of Science and Technology
☐ Ministry of Foreign Affairs
☐ National Heritage Agency or National Heritage Department
☐ Maritime Authority or Maritime Administration
☐ Coastal Zone Management Authority
☐ National Archaeological Institute or National Archaeological Authority
☐ National Museums or Department of Museums
☐ National Parks Authority
☐ National Research Institutes or Centers for Archaeology or Cultural Heritage

Have you signed any international agreement concerning underwater cultural heritage other than the 2001 UNESCO Convention?

Yes □ No □

If yes, please provide details as necessary: ___________

Is there a data-sharing mechanism in place for underwater cultural heritage?

Yes □ No □

Where do you share data on underwater cultural heritage?

☐ UN Secretary General's report
☐ National ocean reports
☐ International databases and repositories (IOC and other)
☐ Regional or global underwater cultural heritage organizations
☐ Academic research publications
☐ Other (please specify) _________________
☐ We do not share data on underwater cultural heritage.

Are universities and NGOs and other civil society stakeholders involved in the preservation and promotion of underwater cultural heritage in your country?

Yes □ No □

Explain. ________________
What are some specific benefits derived from underwater cultural heritage in your country?

(Please select all that apply.)

☐ Economic benefits through tourism, including revenue generation, job creation, and local economic development.
  ○ a) Increased tourist spending leading to economic growth
  ○ b) Creation of employment opportunities in the tourism industry
  ○ c) Stimulating local businesses and supporting entrepreneurship

☐ Cultural and educational benefits by preserving and promoting historical and cultural heritage.
  ○ a) Preservation of historical sites and artifacts for future generations
  ○ b) Promotion of cultural diversity and understanding
  ○ c) Educational programs and exhibitions to teach about the local culture and heritage

☐ Scientific and research benefits through archaeological discoveries and studies.
  ○ a) Uncovering and studying ancient civilizations and their contributions
  ○ b) Advancement of archaeological methods and techniques
  ○ c) Enhancing knowledge of human history and cultural evolution

☐ Environmental benefits by serving as habitats for marine life and contributing to ecosystem health.
  ○ a) Protection of marine biodiversity and ecosystems
  ○ b) Conservation of endangered species and their habitats
  ○ c) Preservation of natural beauty and ecological balance

☐ Recreational benefits for divers and snorkelers, offering unique underwater experiences.
  ○ a) Exploration of underwater ecosystems and marine life
  ○ b) Opportunity for adventure and thrill-seeking activities
  ○ c) Enjoyment of natural wonders and underwater landscapes

☐ Social and community benefits, fostering a sense of identity, pride, and connection to the maritime heritage.
  ○ a) Strengthening community ties and social cohesion
  ○ b) Building a shared sense of pride and identity
  ○ c) Promoting cultural heritage and traditions within the community

☐ Health and well-being benefits, promoting relaxation, stress reduction, and a closer connection to nature.
  ○ a) Healing properties of being near the ocean and marine environments
  ○ b) Providing opportunities for outdoor recreation and physical activity
  ○ c) Offering a peaceful and tranquil environment for relaxation

☐ Educational opportunities for students and researchers in fields like archaeology, marine biology, and oceanography.
  ○ a) Field studies and practical learning experiences for students
  ○ b) Collaboration between researchers and local communities
  ○ c) Access to unique research sites and resources

☐ Inspiration for art, literature, and storytelling, contributing to cultural expression and creativity.
  ○ a) Influence on artistic creations and artistic expression
b) Inspiring storytelling and folklore related to the maritime heritage

c) Providing a backdrop for literature and creative works

Provide statistics available.